

Compliance Forum 2026

15 April, Amora Jamison, Sydney

- 9:00am **Registration and Networking Opens**
- 9:30am **Welcome and Opening Remarks**
Julian Fenwick, CEO & Founder, GRC Solutions
- 9:35am **Opening Keynote Speech: Australia’s Compliance Horizon (2026–2028)**
Louise Matthews, Senior Risk & Compliance Legal Consultant, GRC Solutions
- ACCC: Scam Prevention Framework, from legislation to implementation
 - ASIC conduct priorities: DDO compliance, breach reporting, whistleblowing, conflicts of interest
 - AUSTRAC expectations: AML/CTF program maturity, high-risk customers, transaction monitoring
 - Treasury/OAIC reforms: privacy law modernisation, data governance impacts, current state of reforms
- 10:30am **Morning Tea Break**
- 10:45am **Topic-Specific Speech: Climate & Sustainability: From Claims to Disclosures**
Louise Hall, Client Solutions Executive – Climate Reporting & Modern Slavery, Fair Supply
- Preparing for climate-related financial disclosures (ISSB alignment)
 - Greenwashing risk: governance, substantiation of claims
 - Scenario analysis, data quality and assurance
- 11:45am **Panel Discussion #1: Conduct & Accountability—From Policy to Practice**
Q&A with Louise Hall, Jason Somerville and Kylie Flower. Moderator: Julian Fenwick, CEO & Founder, GRC Solutions.
- Embedding ethical conduct frameworks
 - Customer-owned banking governance expectations
 - Strengthening accountability: practical tools for compliance teams
 - Culture uplift: improving decision-making, challenge, and behavioural expectations
- 12:30pm **Lunch in the Croft Restaurant**

1:30pm

Topic-Specific Speech: From Data to Decisions: using quality information to Reduce Financial Crime Risk in Financial Services

Jason Somerville, AML SME & Founder of Arrow AML Consultancy

- Financial Crime Is a Data Problem Before It's a Compliance Problem
- What "Good" Financial Crime Data Really Looks Like
- Data in Action: AML/CTF, Scams and Vulnerable Customers

2:15pm

Topic-Specific Speech: Foundations of Investigative Capability: A Framework for Excellence

Kylie Flower, Senior Consultant, Wisdom Learning and Former AFP Assistant Commissioner

- Establishing a strong investigation framework that provides a consistent methodology and robust governance to support effective, defensible investigations
- Identifying the skills, knowledge and attributes your investigators require to navigate a complex and evolving compliance environment
- Building investigative capability, confidence and capacity within your organisation to meet current and emerging challenges

3:15pm

Afternoon Tea Break

3:30pm

Topic-Specific Speech: AML Risk Assessments

Louise Matthews, Senior Risk & Compliance Legal Consultant, GRC Solutions

- Risk based approach: How to align AML/CTF Risk assessments for financial institutions
- Customer profiling: techniques for segmenting customers by risk levels (PEPS, high-risk jurisdictions, complex structures)
- Data quality and analytics: Leveraging transaction monitoring data, typologies, and predictive analytics for dynamic risk scoring
- Governance & Accountability: Board oversight, documentation standards, and evidencing "reasonably designed" programs.
- Challenges and barriers to implementation and how to break through them.

4:30pm

Closing Remarks

Kelly Witts, General Counsel, GRC Solutions

4.40pm

Event Close