

Compliance Forum 2026 Stamford Plaza, Brisbane

- 9:00am **Registration and Networking Opens**
- 9:30am **Welcome & Opening Remarks**
Kelly Witts, General Counsel, GRC Solutions
- 9:35am **Opening Keynote Speech: Australia’s Compliance Horizon (2026–2028)**
Louise Matthews, Senior Risk & Compliance Legal Consultant, GRC Solutions
- APRA’s risk & operational resilience programs (CPS 230, CPS 190, CPS 220)
 - ASIC conduct priorities: DDO compliance, breach reporting, whistleblowing, conflicts of interest
 - AUSTRAC expectations: AML/CTF program maturity, high-risk customers, transaction monitoring
 - Treasury/OAIC reforms: Children’s Code, current state of reforms and what’s coming
- 10:30am **Morning Tea Break**
- 10:45am **Topic-Specific Speech: Climate & Sustainability—From Claims to Disclosures**
Kimberly Randle, Founder and CEO, FairSupply
- Preparing for climate-related financial disclosures (ISSB alignment)
 - Greenwashing risk: governance, substantiation of claims
 - Scenario analysis, data quality and assurance
- 11:45am **Panel Discussion #1: Human Rights, Climate Obligations & ESG Integrity—What They Mean for Mutual Banks**
Q&A with Kimberly Randle and Vito Guidice. Moderator: Louise Matthews, Senior Risk & Compliance Legal Consultant, GRC Solutions.
- Ensuring ESG claims are credible and defensible
 - Practical frameworks for ethical and sustainable supply chains
 - Upcoming MS Act reforms and how they impact Mutual Banks
- 12:30pm **Lunch Break**

- 1:30pm **Panel Discussion #2: Data, Privacy & Technology—Compliance as an Enabler**
Q&A with Neil Browne and Vito Giudice. Moderator: Louise Matthews, Senior Risk & Compliance Legal Consultant, GRC Solutions.
- Privacy law reform impacts: consent, breach response
 - Transforming compliance: AI transforming compliance, what's next on the horizon
 - AI and fraud: deepfakes, synthetic fraud, AI powered scams
- 2:15pm **Topic-Specific Speech: Case Study Clinic—Avoiding Compliance Failures: Lessons Learnt**
Speaker: Vito Giudice, Principal Consultant, GRC Solutions
- What went wrong (and why wasn't it caught earlier?): First Guardian Master Fund case study
 - Greenwashing enforcement: from marketing claims to evidence
 - A practical “prevent and prove” playbook
- 3:15pm **Afternoon Tea Break**
- 3:30pm **Topic-Specific Speech: AML Risk Assessments**
Speaker: Neil Browne, Director, Interlock Risk and Consulting
- Risk based approach: How to align AML/CTF Risk assessments for financial institutions
 - Customer profiling: techniques for segmenting customers by risk levels (PEPS, high-risk jurisdictions, complex structures)
 - Data quality and analytics: Leveraging transaction monitoring data, typologies, and predictive analytics for dynamic risk scoring
 - Governance & Accountability: Board oversight, documentation standards, and evidencing “reasonably designed” programs.
 - Challenges and barriers to implementation and how to break through them.
- 4:30pm **Closing Remarks & Networking**
Kelly Witts, General Counsel, GRC Solutions
- 5:00pm **Event close**