

Compliance Forum 2026

26 March, Stamford Plaza Melbourne

- 9:00am **Registration and Networking Opens**
- 9:30am **Welcome & Opening Remarks**
Kelly Witts, General Counsel, GRC Solutions
- 9:35am **Opening Keynote Speech: Australia's Compliance Horizon (2026–2028)**
Joshua Annese, Partner, Piper Alderman
- APRA's risk & operational resilience programs (CPS 230, CPS 190, CPS 220)
 - ASIC conduct priorities: DDO compliance, breach reporting, whistleblowing, conflicts of interest
 - AUSTRAC expectations: AML/CTF program maturity, high-risk customers, transaction monitoring
 - Treasury/OAIC reforms: privacy law modernisation, data governance impacts, current state of reforms
- 10:30 am **Morning Tea Break**
- 10:50am **Topic-Specific Speech: AML Risk Assessments**
Louise Matthews, Senior Risk & Compliance Legal Consultant, GRC Solutions
- Risk based approach: How to align AML/CTF risk assessments for financial institutions
 - Customer profiling: techniques for segmenting customers by risk levels (PEPS, high-risk jurisdictions, complex structures)
 - Governance & Accountability: Board oversight, documentation standards, and evidencing "reasonably designed" programs.
- 11:30am **Topic-Specific Speech: Financial Crime Risk for Mutual Banks**
Richard Lee, Executive Director, KordaMentha
- AML/CTF reforms – the impact and your options going forward
 - Boards are on the hook – the demand for quality governance
 - Regulatory Expectations are converging, not shrinking

- 12:30pm **Lunch Break**
- 1:30pm **Topic-Specific Speech: APRA's Proportional Regulation of Small & Medium-Sized Banks – Capital, Risk Models & Supervisory Expectations**
Paul Korte, Co-Founder & Principal Consultant, RegCoNet
- APRA's Three-Tiered Proportionality Framework
 - IRB Accreditation for Risk-Weighted Assets
 - Pillar 2 Capital Decisions & Supervisory Dialogue
- 2:30pm **Topic-Specific Speech: Climate & Sustainability – Managing Climate Risk**
Ben Henderson, CPTO, Fair Supply
- Preparing for climate-related financial disclosures (ISSB alignment)
 - Greenwashing risk: governance, substantiation of claims
 - Managing climate risk: embedding climate risk, compliance challenges in green loans, and sustainable investment products
- 3:20pm **Closing Remarks**
Kelly Witts, General Counsel, GRC Solutions
- 3:40pm **Afternoon Tea Break and networking opportunities**
- 4:00pm **Event Close**